D32MPO - Mikromechanika a popis mikrostruktury materiálů – přednáška 04

Principy nanomechanické analýzy heterogenních materiálů. Dekonvoluce a homogenizace.

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Introduction and motivation

- Principles of nanomechanical analysis on heterogeneous materials. Nanoindentation, SEM, image analysis.
- Nanomechanical analysis of distinct material phases applied to cement paste, Alkali-activated Fly ash, Gypsum
- Up-scaling phase properties to upper composite level

Introduction

Structural materials are characterized with

- Heterogeneous composition including porosity at different scales nm-mm
- Multi-scale models must be developed.
- Basic tasks include: Scale separation, finding characteristic dimensions (*number of phases, morphology, volumetric content at individual levels*) and Mechanical characterization at each scale.



Bottom-up approach

- Detect and characterize **low-level** material properties.

i.e. Intrinsic (constant) properties of basic building blocks (phases)

 Use up-scaling to predict upper-level (macro/full-scale) properties knowing volume fractions of phases, microstructural configuration, phase interactions



Then, virtual experiments are

-possible (changing volume fraction of existing phases, adding new phases) -less expensive and more predictive than classical macroscopic experiments (onemixture test)

and their resolution

Microstructural investigations

•Optical microscopy: basic morphometrics >>1 um

•SEM:

SE detector: high resolution on morhology in 2D (100-10.000x) BSE detector: material constrast EDX: elemental analysis ~**5 um**

•AFM – surface 3D topology (~1nm)

•Micro-CT: 3D imaging ~1um.

•MIP porosimetry, pores nm-um

Nanomechanical analysis

•Nanoindentation spacial resolution ~1 um

•AFM (very local ~1nm)

Practical limits:

surface roughness

- unpolished sample ~1-10 um
- polished sample 10-100 nm

Positioning system – precision

mechanical ~1um

piezopositioning ~1nm

Nanoindentation

- pointwise estimates of local mechanical properties
- measurement is performed from the surface but affects volume under the

indenter (practically 0.1-1 um³)



Available information: Micromechanical characterization (nanoindentation on phases below 1 um) Grid nanoindentation, phase deconvolution



Phase deconvolution in multi-phase systems

Image analysis

- Dependent on
- -image quality
- -pixel luminosity
- -segmentation (thresholds/local minima/ deconvolution of histograms)





Direct phase deconvolution from mechanical tests -Nanoindentation



Pointed indentation (HD C-S-H) loois Cavera Video Windows Help #+# X+-35.00 un Y+ 26.9 H DX-7000 at 1 DY = 54.00 yrs D = 88.41 µm Theta = 37.65 de Kive 100a 💌 😼 Det WD Exp SE 9.3 1 Acc.V Spot Magn 30.0 kV 5.0 5054x 8 Pa ALIT_04_#16_02





E=38.6± 2.57 GPa

4 µm

x 1.78 µn - V: 27.17 µn

5 µm

Average properties

Grid indentation –large indents 100mN



"Physical homogenization"

Geopolymers



Deconvolution

- •All indents taken into account
- Assessment of E modulus from unloading curve (Standard Oliver-Pharr procedure) for individual indents
- •Material property can be plotted in the form of property histogram
- •Statistical deconvolution of material phases can be applied



Ill-posed problem!



Nanoindentation on cement paste

Main phases at micro-scale •C-S-H gels (low and high density) •Portlandite Ca(OH)₂ •Residual clinker •Capillary porosity

Parameters of nanoindentation

•Representative material area (*RVE 200x200 um*)
•Indents spacing 10 um
•Individual indents depth *h=100-300 nm*

h<< characteristic size of heterogeneities

(Portladite zones, clinker, .. um range)
 h>> nanoporosity (30vol.% <100nm) (included in intrinsic phase properties)

h<< Capillary porosity (not included in results)



20×20=400 indents 10 μm spacing **RVE size ~200 μm**

Statistical grid nanoindentation on cement paste

•Deconvolution of phases from grid results in RVE

•Assumption of *n*-phases (Gaussian distributions)

$$P(x) = \sum_{j=1}^{n} \frac{p_j}{\sqrt{2\pi s_j^2}} exp\left[-\frac{(x-\mu_j)^2}{2\sigma_j^2}\right]$$

•Minimization of differences between theoretical and experimental probability density

$$\min \sum_{i=1}^{N^{bins}} [(P_i^{exp} - C(x_i)) P_i^{exp}]^2$$



Reduced modulus E_r (GPa) and frequency of occurance (%) Phase This study¹ Literature [10]² Literature [22] ³ $7.45 \pm 0.98 (1.05 \%)$ A. Low stiffness 8.1 ± 1.7 (6 %) n/a $21.7 \pm 2.2 \ (67 \ \%)$ B. LD C-S-H $20.09 \pm 3.85 \ (63.17 \ \%)$ $18.2 \pm 4.19 \ (51 \ \%)$ $33.93 \pm 2.98 \ (26.34 \ \%)$ C. HD C-S-H $29.4 \pm 2.4 \ (33 \ \%)$ $29.1 \pm 4.07 \ (27 \ \%)$ 0.0 $43.88 \pm 2.15 \ (4.61 \ \%)$ 0 D. Portlandite $40.3 \pm 4.03 \ (11 \ \%)$ n/an/a (4.83 %) E. Non-hydrated n/an/a



Image analysis (SEM) on cement paste





green=C-S-H; pink=Portlandite; blue=porosity; red=clinker

Local minima approach



Segmentation to only 4 phases (Not sufficient contrast to distinguish between low/high-density C-S-H)

fraction	s.d.
0.017	0.015
0.862	0.024
0.078	0.013
0.044	0.020
	fraction 0.017 0.862 0.078 0.044

Deconvolution approach



Phase	fraction	s.d.
Porosity	0.032	0.02
C-S-H	0.805	0.035
Portlandite	0.101	0.032
Clinker	0.062	0.028

IA insufficiencies

Cannot sense B/C
Smooth transitions between phases – no local minima

	Nanoindentation		Image	
Phase	E (GPa)	f_NI	f_IA (dec)	Error=(f_IA-f_NI)/f_AI
A-Low stiffness phase	7.45	0.011	0.032	0.66
B=low density C-S-H	20.09 0.632		0.805	-0.11
C=high density C-S-H	33.93	0.263		
D=Portlandite	43.88	0.046	0.101	0.54
E-Clinker	121	0.048	0.062	0.23

IA overestimates low density regions (pores)
IA can not sense two types of C-S-H
IA overestimates Portlandite and clinker volumes

(due to smooth color transition)

Nanomechanical analysis of AAFA

Alkali-activated fly ash (AAFA)

Basic reaction product is an amorphous alumino-silicate gel (N-A-S-H gel) and/or C-S-H gel forming in the presence of calcium and low alkalinity activator



High degree of hetegogeneity

Nanoindentation

- •CSM nanohardness tester
- •Several matrices of 10x10=100 imprints
- •Mutual indents' spacing 10-50 um
- •Total 700 800 imprints per sample
- Load controlled test
- •Trapezoidal loading diagram
- •Max. load 2 mN
- •Loading/holding/unloading 30/30/30s



- A. light luminous points = iron rich particles (*Fe-Mn* oxides) B. light grov compact spheres = aluming silica rich glass part
- B. light grey compact spheres = alumina-silica rich glass particles
- C. porous fly ash particles and non-activated slags
- D. N-A-S-H gel



the second peak comes from partly activated slag particles (mix of gel and rest of a slag particle)
different reaction kinetics between ambient and heat-cured sample.



Nanomechanical analysis on gypsum

Samples:

•low-porosity purified α -hemihydrate (CaSO₄.1/2H₂O)

Used for dental purposes

Microstructure:

 Interlocking crystals+porosity (total 19%) •The major porosity: in nano-range 0–300 nm (0–100 nm 7%, 100–300 nm 4%, 300–1000 nm 1%) •virtually no pores appeared between 1-100 µm (<0.5%) 15×12=180 indents

Results:

polycrystalline nature

apparent isotropic moduli associated with the

indentation volume 1.5³ µm³ were assessed

three significant crystallographic orientations (monoclinic system)

15 µm spacing









Nanomechanical analysis of Al alloy



Phase	E (GPa)	Poisson's ratio (-)	Volume fraction
Al-rich zone	61.882	0.35	0.637681
Ca/Ti-rich zone	87.395	0.35	0.362319

Up-scaling low level properties to upper level

Structural materials (concrete, gypsum, plastics, wood, ...) are characterized by

- Multiscale heterogeneity (different chemical and mechanical phases)
- Phase separation process (depends on scale nm-mm)





Voigt bound = strains constant in composite (rule of mixtures for stiffness, parallel configuration) $E_c = fE_f + (1 - f)E_m$

Reuss bound = stresses constant in composite (rule of mixtures for compliance,

 $E_c = \left(rac{f}{E_f} + rac{1-f}{E_m}
ight)^{-1}.$ $f = rac{V_f}{V_f + V_m}$

serial configuration)

Hashin-Shtrikman Bounds



1. Analytical schemes



Micromechanical averaging

$$\begin{split} \boldsymbol{\Sigma} &= \langle \boldsymbol{\sigma} \rangle = \frac{1}{V} \int_{V} \mathbf{c}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \boldsymbol{E} dV = \boldsymbol{C}^{eff} : \boldsymbol{E}, \\ \boldsymbol{E} &= \langle \boldsymbol{\varepsilon} \rangle = \frac{1}{V} \int_{V} \mathbf{s}(\mathbf{x}) : \mathbf{B}(\mathbf{x}) : \boldsymbol{\Sigma} dV = \boldsymbol{S}^{eff} : \boldsymbol{\Sigma}. \\ \mathbf{For r-phases:} \\ \boldsymbol{C}^{eff} &= \sum_{r} f_{r} \mathbf{c}_{r} : \mathbf{A}_{r} \\ \boldsymbol{S}^{eff} &= \sum_{r} f_{r} \mathbf{s}_{r} : \mathbf{B}_{r} \\ \mathbf{r}^{-phase medium:} \\ \mathbf{f}_{r} \dots \text{ volume fraction} \\ \mathbf{c}_{r} \mathbf{s}_{r} \dots \text{ local stiffness/compliance tensors} \\ \mathbf{A}/\mathbf{B} \quad \text{localization tensors} \end{split}$$

Eshelby's estimate

 $\mathbf{A}_{r}^{est} = [\mathbf{I} + \mathbf{S}_{r}^{Esh} : (\mathbf{C}_{0}^{-1} : \mathbf{c}_{r} - \mathbf{I})]_{\mathbf{I}}^{-1} : \left\langle [\mathbf{I} + \mathbf{S}_{r}^{Esh} : (\mathbf{C}_{0}^{-1} : \mathbf{c}_{r} - \mathbf{I})]^{-1} \right\rangle^{-1}$

Based on Eshelby's solution of an ellipsoidal inclusion in an infinite body
Assumes prevailing matrix reinforced with non-continuous spherical inclusions
Uses phase volume fractions and stiffnesses (here taken from deconvolution)
Produces effective (homogenized) composite properties



Reference medium == 0-th phase

$$\alpha_0 = \frac{3k_0}{3k_0 + 4\mu_0}, \beta_0 = \frac{6k_0 + 12\mu_0}{15k_0 + 20\mu_0}$$

Bulk and shear effective moduli for r-phase composite:



Analytical homogenization (Mori-Tanaka)



Level 1

	Phase	$E_r(GPa)$	ν	f_r
Input	LD C-S-H	20.09	0.2	0.706
	HD C-S-H	33.93	0.2	0.294
Output	Homogenized C-S-H	23.363	0.2	1

Level 2

	Phase	$E_r(GPa)$	ν	f_r
Input	C-S-H	23.363	0.2	0.8951
	Low stiffness	7.45	0.2	0.0105
	CH	43.88	0.3	0.0461
	Clinker	113	0.3	0.0483
Output	Cement paste	25.343	0.21	1

Average $\langle \mathbf{\epsilon} \rangle := \frac{1}{|\Omega|} \int_{\Omega} \mathbf{\epsilon}(\mathbf{x}) d\mathbf{x} = E$

Governing differential equation: $\sigma(\mathbf{x}) = L(\mathbf{x}) : \epsilon(\mathbf{x}) \quad div\sigma(\mathbf{x}) = \mathbf{0} \quad \mathbf{x} \in \Omega$

Effective stiffness tensor $\langle \boldsymbol{\sigma} \rangle = L_{eff} \langle \boldsymbol{\epsilon} \rangle$

Decomposition of local strain to homogeneous strain and polarization part)

$$\mathbf{E}(\mathbf{x}) = E - \int_{\Omega} \Gamma^{0}(\mathbf{x} - \mathbf{y}) : (\mathbf{L}(\mathbf{y}) - \mathbf{L}^{0}) : \mathbf{E}(\mathbf{y}) d\mathbf{y}$$

(periodic Lippmann-Schwinger integral equation)

Green's operator

2

Polarization stress

Integral kernel (Green's operator) found in the Fourier space

Discretization (by trigonometric collocation method) leads to --->nonsymmetric linear system of equations (CG method)





Comparison of the results

Stiffness matrix for **Plane strain** conditions (isotropic material)



Comparison of analytical and FFT scheme

stiffness error =
$$\delta = \sqrt{\frac{\left(\mathbf{L}_{eff}^{FFT} - \mathbf{L}_{eff}^{A}\right):\left(\mathbf{L}_{eff}^{FFT} - \mathbf{L}_{eff}^{A}\right)}{\left(\mathbf{L}_{eff}^{FFT}:\mathbf{L}_{eff}^{FFT}\right)}}$$

_	Results from nanoindentation and deconvolution					
	_		Phase	E (GPa)	Poisson's ratio (-)	Volume fraction
		INPUT	Low stiffness	7.45	0.2	0.0105
CEMENT	(%) 7-	B Theoretical PDF Experimental PDF C D D E Reduced modulus Er (GPa)	Low density C-S-H	20.09	0.2	0.6317
	ency density		High density C-S-H	33.93	0.2	0.2634
	Leedne Leedne U		Ca(OH) ₂	43.88	0.3	0.0461
			clinker	130	0.3	0.0483
		OUTPUT	M-T homogenized value	25.3308	0.2067	1.0

		Phase	E (GPa)	Poisson's ratio (-)	Volume fraction
Σ		Low stiffness	19.357	0.2	0.043750
DSc	7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7	Dominant	37.234	0.2	0.712500
Ц	2.0 phase 0.0 20 40 60 80 100 Elastic modulus (GPa)	High stiffness	56.277	0.2	0.243750
U	OUTPUT	M-T homogenized value	40.000	0.2	1.0
AS	8.0 7.0 Experimental PDF	Phase	E (GPa)	Poisson's ratio (-)	Volume fraction
OR	600 9 40 20 40 20 40 20 40 40 40 40 40 40 40 40 40 40 40 40 40	Al-rich zone	61.882	0.35	0.637681
ГЪ	E 1.0 4.0 60 80 100 120 140 Elastic modulus (GPa)	Ca/Ti-rich zone	87.395	0.35	0.362319
4	OUTPUT	M-T homogenized value	70.083	0.35	1.0

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Numerical results

$$\mathbf{L}_{eff}^{A} = \begin{bmatrix} 28.145 & 7.036 & 0 \\ 7.036 & 28.145 & 0 \\ 0 & 0 & 21.109 \end{bmatrix} \quad \mathbf{L}_{eff}^{FTT} = \begin{bmatrix} 26.177 & 6.778 & 0.068 \\ 6.778 & 26.224 & 0.014 \\ 0.068 & 0.014 & 19.818 \end{bmatrix}$$

$$cement \delta = 0.071045 \quad \text{Error } 7.1\%$$

$$\mathbf{L}_{eff}^{A} = \begin{bmatrix} 44.444 & 11.111 & 0 \\ 11.111 & 44.444 & 0 \\ 0 & 0 & 33.333 \end{bmatrix} \quad \mathbf{L}_{eff}^{FFT} = \begin{bmatrix} 40.995 & 10.593 & -0.349 \\ 10.593 & 41.726 & -0.024 \\ -0.349 & -0.024 & 30.909 \end{bmatrix}$$

$$gypsum \delta = 0.075138 \quad \text{Error } 7.5\%$$

$$\mathbf{L}_{eff}^{A} = \begin{bmatrix} 112.479 & 60.566 & 0 \\ 60.566 & 112.479 & 0 \\ 0 & 0 & 51.913 \end{bmatrix} \quad \mathbf{L}_{eff}^{FFT} = \begin{bmatrix} 117.130 & 62.741 & -0.163 \\ 62.741 & 117.106 & -0.143 \\ -0.163 & -0.143 & 54.313 \end{bmatrix}$$

$$A^{I-alloy} \delta = 0.0393058 \quad \text{Error } 3.9\%$$

(Stiffness matrices in Mandel's notation)

CEMENT

UHPC



Cement paste

Analyti

Data received from statistical deconvolution and homogenized values on cement paste.

	Deconvoluted phase	E (GPa)	Poisson's ratio	Volume	
cal	Low stiffness phase (A) Low density C–S–H (B) High density C–S–H (C) Portlandite (D) Clinker (E)	7.45 20.09 33.93 43.88 121.0 ^a	0.2 0.2 0.2 0.3 0.3	0.011 0.632 0.263 0.046 0.048	from NI
	Homogenization C–S–H level (B + C) by M–T C–S–H level (B + C) by SCS Cement paste level (B + C) + A + D + E by M–T Cement paste level (B + C) + A + D + E by SCS	23.36 23.41 25.39 25.44	0.2 0.2 0.207 0.208	1.0 1.0	

M-T stands for the Mori-Tanaka scheme; SCS stands for the self-consistent scheme.

^a Note: Clinker value was adjusted to 121 GPa according to [7].

$$\mathbf{L}_{eff}^{A} = \frac{E_{eff}}{(1+v_{eff})(1-2v_{eff})} \begin{bmatrix} 1-v_{eff} & \nu & 0 \\ \nu & 1-v_{eff} & 0 \\ 0 & 0 & 1-2v_{eff} \end{bmatrix} = \begin{bmatrix} k+\frac{4}{3}\mu & k-\frac{2}{3}\mu & 0 \\ k-\frac{2}{3}\mu & k+\frac{4}{3}\mu & 0 \\ 0 & 0 & 2\mu \end{bmatrix} \quad \text{cement} : L_{eff}^{A} = \begin{bmatrix} 28.44 & 7.43 & 0 \\ 7.43 & 28.44 & 0 \\ 0 & 0 & 21.02 \end{bmatrix}$$

FFT homogenization from NI
$$L_{eff}^{FFT} = \begin{bmatrix} 26.177 & 6.778 & 0.068 \\ 6.778 & 26.224 & 0.014 \\ 0.068 & 0.014 & 19.818 \end{bmatrix}$$

Comparison

$$\delta = \sqrt{\frac{\left(L_{\textit{eff}}^{\textit{FFT}} - L_{\textit{eff}}^{\textit{A}}\right) :: \left(L_{\textit{eff}}^{\textit{FFT}} - L_{\textit{eff}}^{\textit{A}}\right)}{\left(L_{\textit{eff}}^{\textit{FFT}} :: L_{\textit{eff}}^{\textit{FFT}}\right)}}$$

 $^{\text{cement}}\delta = 0.08$

Gypsum

Analytical

Data received from statistical deconvolution to the three phases and homogenized values on gypsum.

E (GPa)	Poisson's ratio	Volume fraction
28.36	0.32	0.663
43.46	0.32	0.310
59.89	0.32	0.027
32.96	0.32	1.0
33.02	0.32	1.0
	E (GPa) 28.36 43.46 59.89 32.96 33.02	E (GPa) Poisson's ratio 28.36 0.32 43.46 0.32 59.89 0.32 32.96 0.32 33.02 0.32

Note: M-T stands for the Mori-Tanaka scheme; SCS stands for the self-consistent scheme.

Gypsum : 3 phase fit :
$$L_{eff}^{A} = \begin{bmatrix} 47.25 & 22.24 & 0 \\ 22.24 & 47.25 & 0 \\ 0 & 0 & 25.02 \end{bmatrix}$$

1phase fit : $L_{eff}^{A} = \begin{bmatrix} 48.51 & 22.84 & 0 \\ 22.84 & 48.51 & 0 \\ 0 & 0 & 25.69 \end{bmatrix}$
 $L_{eff}^{FFT} = \begin{bmatrix} 45.302 & 21.185 & 0.101 \\ 21.185 & 45.497 & -0.008 \\ 0.101 & -0.008 & 24.396 \end{bmatrix}$

FFT homogenization from NI

Comparison

 $^{\rm gypsum}\delta = 0.07.$